

HARMONY CAPITAL SERVICES LIMITED.

(CIN: L67120MH1994PLC288180)

Reg. Off: Office No. 8A, 8th Floor, Astral Centre,
470-B, N. M. Joshi Marg, Chinchpokli – West, Mumbai – 400 011.
Tel .: 022 2300 1206, E-mail: harmonyosl@yahoo.com, Web site: www.hcsl.co.in

To,

Date: 09/04/2022

Listing Dept./Market Operation Dept.

BSE Limited,

14th Floor, Phiroz Jeejeebhoy Towers,

Dalal Street,

Mumbai – 400 023

Sub : Intimation to Shareholders holding Shares in Physical Mode

Ref : Harmony Capital Services Limited (Scrip Code-530055, Scrip ID- HRMNYCP)

Dear Sir/Madam,

Pursuant to Regulation 30 and Part A of Schedule III of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, please find enclosed herewith a copy of the letter sent to the shareholders holding shares in physical mode in compliance with the SEBI Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021 and SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/687 dated December 14, 2021


The above information is also available on the website of the Company at www.hcsl.co.in

Please take on record the same.

Thanking you,

Yours truly,

For Harmony Capital Services Limited


Asutosh Raulo
Managing Director
DIN: 01589574



Encl: a/a.

Dear Investor,

Subject: -Request for Updating the KYC details as per SEBI Circular No. SEBI/HO/MIRSD/MIRSD RTAMB/P/CIR/2021/655 dated 3rd Nov 2021.

We refer to the above circular issued by SEBI that mandates all the listed companies to record the PAN, Address with PIN code, Email address, Mobile Number, Bank Account details, Specimen Signature and Nomination by holders of physical securities. This is applicable for all the security holders in physical mode.

The salient features and requirements of the circular are as follows:

- A) **Non – updation of KYC - Folios** : wherein any ONE of the cited details/documents, (I.e PAN, Address with PIN code, Email address, Mobile Number, Bank Account details, Specimen Signature and Nomination) are not available on or after April 01, 2023, shall be frozen as per SEBI circular.

Notes:

1) The securities in the frozen folios shall be:

- Eligible to lodge any grievance or avail service request from the RTA only after furnishing the complete documents / details as aforesaid.
- Eligible for any payment including dividend, interest or redemption payment only through electronic mode upon complying with the above stated requirements.

2) a) The relevant formats for Nomination and Updation of KYC details viz; Forms ISR-1, ISR-2, ISR-3, SH-13, SH-14 and SEBI circular are available on our website as mentioned below;

<https://www.linkintime.co.in> → Resources → Downloads → General → Formats for KYC.

b) Original cancelled cheque leaf bearing the name of the first holder falling which first security holder is required to submit copy of bank passbook / statement attested by the bank which is mandatory for registering the new bank details.

- B) **Mandatory Linkage of PAN with Aadhaar** - As per the Central Board of Direct Taxes (CBDT) it is mandatory to link PAN with Aadhaar number by March 31, 2022. Security holders who are yet to link the PAN with Aadhaar number are requested to get the same done before March 31, 2022. Post March 31, 2022 or any other date as may be specified by the CBDT, RTAs shall accept only valid PANs and the ones which are linked to the Aadhaar number. The folios in which PAN is / are not valid as on the notified cut-off date of March, 31, 2022 or any other date as may be specified by the CBDT, shall also be frozen.

Note: - Security holders from Sikkim can provide self-attested copy of Aadhaar Card/Voter's Card/Driving License/Passport or any other identity proof as issued by the Govt.

In view of the above, we request you to submit the KYC Form printed on the reverse of this letter, duly completed along with Investor Service Request Form ISR-1 and the required supporting documents as stated in Form ISR-1 at the earliest.

You may use any ONE of the following modes for submission:

1. In Person Verification (IPV): by producing the originals to the authorised person of the RTA, who will retain copy(ies) of the document(s).
2. In hard copy: by furnishing self-attested photocopy(ies) of the relevant document, with date.
3. With e-sign:
 - (a) In case your email is already registered with us, you may send the scanned copies of your KYC documents with e-sign at our dedicated email-id: kyc@linkintime.co.in Kindly mention the email subject line as "KYC Updation - (Company Name) - Folio No : _____"
 - (b) Investors can also upload KYC documents with e-sign on our website <https://www.linkintime.co.in> → Investor Services → KYC Compliance.

E-Sign is an integrated service which facilitates issuing a Digital Signature Certificate and performing signing of requested data by e-sign user. You may approach any of the empanelled e-sign service providers available on <https://cca.gov.in/> for the purpose of obtaining e-sign.

Yours faithfully,

For Link Intime India Pvt. Ltd
Investor Relation Cell (IRC)

This is a computer generated letter and hence no signature required. If you have already submitted the documents for updating KYC or have dematerialised your physical securities, please do not submit the documents again.

Form ISR - 1

(SEBI circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021 on Common and Simplified Norms for processing Investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

REQUEST FOR REGISTERING PAN, KYC DETAILS OR CHANGES / UPDATION THEREOF [For Securities (Shares / Debentures / Bonds, etc.) of listed companies held in physical form]

Date : / /

A. I / We, request you to Register / Change / Update the following (Tick ✓ relevant box)

| | | |
|---|---|---|
| <input type="checkbox"/> PAN | <input type="checkbox"/> Signature | <input type="checkbox"/> Mobile Number |
| <input type="checkbox"/> Bank details | <input type="checkbox"/> Registered Address | <input type="checkbox"/> E-mail address |
| Kindly Quote the Serial No as printed in your KYC Form >> | | [] |

B. Security and KYC Details [to be filled in by the First Holder]

| | | |
|---|----------------------|----|
| Name of the Issuer Company | Folio No(s) | |
| Face value of Securities | Number of Securities | |
| Distinctive number of Securities (Optional) | From | To |
| E-mail Address | | |
| Mobile Number | | |

C. I/We are submitting documents as per Table below (tick ✓ as relevant, refer to the instructions):

| Name(s) of the Security holder(s) in Capital as per PAN <small>Copies of PAN of all the holder(s) duly self-attested with date to be enclosed with this Form</small> | PAN | PAN Linked to Aadhaar -Y/N Tick any one (✓) * |
|---|-----|--|
| 1. | | Yes / No |
| 2. | | Yes / No |
| 3. | | Yes / No |
| 4. | | Yes / No |

Note: * PAN shall be valid only if it is linked to Aadhaar by March 31, 2022, or any other date as may be specified by CBDT.
To know the status of your Pan Linked to Aadhaar check on this link: <https://www.incometax.gov.in/iec/foportal>

Bank Account Details of First Holder

| | |
|---------------------------|--|
| Name of the Bank & Branch | IFSC |
| Bank A/c No. | Tick any one (✓) - Acct type <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> Any other [] |

Note: Original cancelled cheque leaf bearing the name of the first holder is mandatory, failing which first security holder shall submit copy of bank passbook / statement attested by the Bank for registering the Bank Account details.

| | |
|----------------------|--------------------|
| Demat Account Number | 16 digit DP/CL [] |
|----------------------|--------------------|

Also provide Client Master List (CML) of your Demat Account, provided by the Depository Participant.

Authorization: I / We authorise you (RTA) to update the above PAN and KYC details in my / our above folio(s) (use Separate Annexure if extra space is required) in which I / we are the holder(s). [strike off what is not applicable]

Declaration: All the above facts and documents enclosed are true and correct.

| | First Holder | Joint Holder - 1 | Joint Holder - 2 | Joint Holder - 3 |
|-----------|--------------|------------------|------------------|------------------|
| Signature | | | | |
| Name | | | | |
| Address | | | | |
| Pin | | | | |

Note: If the address mentioned above differs from the address registered with the Company, you are requested to record the new address by submitting the documents as specified in point (3) overleaf.

I/We are submitting documents as per Table below (tick ✓ as relevant, refer to the instructions):

| No. | ✓ | Document/Information/Details | Instruction/Remark |
|-----|--------------------------|--------------------------------------|---|
| 1 | <input type="checkbox"/> | PAN of (all) the (joint) holder(s) | PAN copies of all the holder(s) duly self-attested with date to be enclosed. PAN shall be valid only if it is linked to Aadhaar by March 31, 2022, or any date as may be specified by the CBDT. For Exemptions / Clarifications on PAN, please refer to Objection Memo as specified in SEBI circular. |
| 2 | <input type="checkbox"/> | Demat Account Number | Provide Client Master List (CML) of your Demat Account, provided by the Depository Participant. |
| 3 | | Proof of Address of the first Holder | <p>Provide self attested copy of any ONE of the documents, issued by a Govt. Authority, only if there is change in the address;</p> <ul style="list-style-type: none"> <input type="checkbox"/> Client Master List (CML) of your Demat Account, provided by the Depository Participant. <input type="checkbox"/> Valid Passport/ Registered Lease or Sale Agreement of Residence/ Driving License/Flat Maintenance Bill* <input type="checkbox"/> Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old. <input type="checkbox"/> Identity card (with Photo) / document with address, issued by Central/State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions. <input type="checkbox"/> For FII / sub account, Power of Attorney given by FII / sub-account to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address should be taken. <input type="checkbox"/> The proof of address in the name of the spouse* <p>* Kindly provide additional self-attested copy of Identity Proof of the holder/ claimant.</p> |
| 4 | <input type="checkbox"/> | Bank details | Provide the latest copy of the bank statement with details of bank name, branch, account number and IFSC or Original cancelled cheque leaf bearing the name of first holder. Alternatively, Bank details available in the CML as enclosed will be updated in the folio. |
| 5 | <input type="checkbox"/> | E-mail address | As mentioned on Form ISR-1, alternatively the E-mail address available in the CML as enclosed will be updated in the folio. |
| 6 | <input type="checkbox"/> | Mobile | As mentioned on Form ISR-1, alternatively the mobile number available in the CML as enclosed will be updated in the folio. |
| 7 | <input type="checkbox"/> | Specimen Signature | Provide banker's attestation of the signature of the holder(s) as per Form ISR - 2 and Original cancelled cheque leaf bearing the name of the first holder. |
| 8 | | Nomination | <p>Submit Form(s) as per any ONE of the following options.</p> <ul style="list-style-type: none"> <input type="checkbox"/> SH-13 For First Time Nomination <input type="checkbox"/> SH-14 For Change in Existing Nomination <input type="checkbox"/> SH-14 and ISR-3 For Cancellation of existing Nomination and to "Opt-Out" <input type="checkbox"/> ISR-3 To "OPT-Out" of Nomination or if No-Nomination is required |

Note: All the above forms are also available on our website.

Form ISR – 2

(SEBI circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03,2021)

Confirmation of Signature of Securities Holder by the Banker

Serial No : _____
as mentioned in KYC Form

| | |
|-------------------------|--|
| 1. Bank Name and Branch | |
| 2. Bank contact details | |
| Postal Address | |
| Mobile/Tel number | |
| E-mail address | |
| 3. Bank Account number | <i>attach original cancelled cheque leaf</i> |
| 4. Account opening date | |
| 5. Account holder's PAN | Account Holder's Name |
| i) | i) |
| ii) | ii) |
| iii) | iii) |
| iv) | iv) |

6. Latest photograph of the account holder(s)

| | | | |
|------------------|-------------------|--------------------|-------------------|
| i)- Holder Photo | ii)- Holder Photo | iii)- Holder Photo | iv)- Holder Photo |
|------------------|-------------------|--------------------|-------------------|

7. Account holder(s) details as per Bank Records

| | |
|----------------------|--|
| a) Address | |
| | |
| | |
| b) Mobile/Tel number | |
| c) Email address | |

d) Signature(s) of the Holder(s)

| | |
|--|---|
| i) _____ ii) _____ iii) _____ iv) _____ | <div style="border: 1px solid black; width: 150px; height: 80px; margin: 0 auto;"></div> <p><i>Bank Manager's Signature and Bank Seal</i></p> |
|--|---|

-- (To be Mandatorily Filled by the Bank Official) --

| | |
|------------------|----------------------------|
| Place: | Name of the Bank Manager : |
| Date: | Employee Code : |
| Mobile / Tel no: | Email id : |

Form No. SH-13

Nomination Form

[Pursuant to section 72 of the Companies Act, 2013 and rule 19(1) of the Companies (Share Capital and Debentures) Rules 2014]

Date: / /

To,
 Name of the Company : _____
 Address of the Company: _____

Serial No: _____
 (As mentioned in KYC Form)

I/We, the holder(s) of the securities particulars of which are given hereunder, wish to make nomination and do hereby nominate the following persons in whom shall vest, all the rights in respect of such securities in the event of my/our death.

(1) PARTICULARS OF THE SECURITIES (in respect of which nomination is being made) :

| Nature of Securities Tick ✓ as relevant | Folio No. | No. of Securities* | Certificate No. | Distinctive No(s) (From – To) |
|--|-----------|--------------------|-----------------|------------------------------------|
| Equity / Debs/ Bonds | | | | |

(2) PARTICULARS OF NOMINEE/S — [Use photocopies of this blank nomination form in case of additional Multiple Nominations in the same folio]

| | | | |
|--|--|---------------|---------|
| Name of Nominee | | | |
| Address of Nominee | | Date of Birth | { - - } |
| Father's/Mother's/ Spouse's name | | Occupation | |
| Relationship with the security holder | | Nationality | |
| E-mail_id | | Mobile No | |

(3) IN CASE NOMINEE IS A MINOR —

| | | | |
|---------------------|--|----------------------------|---------|
| Name of Guardian | | Date of Birth | { - - } |
| Address of Guardian | | Date of attaining majority | { - - } |

Signature(s) as per Specimen recorded with the Company.

| | First Holder | Joint Holder -1 | Joint Holder -2 | Joint Holder -3 |
|-----------|--------------|-----------------|-----------------|-----------------|
| Signature | | | | |
| Name | | | | |

Witness Details:

| | | |
|--------------------|------------|-----------|
| Name of Witness | | Signature |
| Address of Witness | | |
| | Pin: _____ | Date |

* Nomination will be registered for entire holding in the folio. In case of more than one nominee, the ratio should be furnished & separate form to be filled for each nominee.

Form ISR - 3

Declaration Form for Opting-out of Nomination by Holders of Physical Securities in Listed Companies

(SEBI circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CR/2021/655 dated November 03, 2021) Date: / /

Serial No: _____
(As mentioned in KYC Form)

Name of the Company : _____
Address of the Company : _____

PARTICULARS OF THE SECURITIES (in respect of which nomination is being opted out)

| Nature of Securities | Folio No. | No. of Securities * | Certificate No. | Distinctive No(s) (From - To) |
|----------------------|-----------|---------------------|-----------------|----------------------------------|
| Tick ✓ as relevant | | | | |
| Equity / Debentures | | | | |

I / We the holder(s) of the securities particulars of which are given here in above, *do not wish to nominate* any person(s) in whom shall vest, all the rights in respect of such securities in the event of my / our death.

I / We understand the issues involved in non-appointment of nominee(s) and further are aware that in case of my / our death, my / our legal heir(s) / representative(s) are required to furnish the requisite documents / details, including, Will or documents issued by the Court like Decree or Succession Certificate or Letter of Administration / Probate of Will or any other document as may be prescribed by the competent authority, for claiming my / our aforesaid securities.

Signature(s) as per Specimen recorded with the Company.

| | First Holder | Joint Holder -1 | Joint Holder -2 | Joint Holder -3 |
|-----------|--------------|-----------------|-----------------|-----------------|
| Signature | | | | |
| Name | | | | |

Witness Details:

| | | | |
|---------------------------|------------|------------------|--|
| Name of Witness | | Signature | |
| Address of Witness | | | |
| | Pin: _____ | Date | |

* Use of ISR-3 (ie to Opt-Out of Nomination OR if "No_Nomination" is required by the investor) will be applied for the entire securities against the said Folio.